Glasgow Kelvin College

Internal Audit Progress Report
2019/20 Annual Plan

8 September 2020

Now, for tomorrow

mha
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Internal Audit Progress Report
September 2020

Progress made in delivering the annual plan for 2019/20, approved in September 2019, is shown below.

Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit and Risk Committee	Comments
Strategic Internal Audit Plan 2019/20 and Outline Internal Audit Annual Plan 2019/20	17 September 2019	Draft 22/08/19 Final 25/09/19	2020/01	N/A	17 September 2019	
Student Recruitment and Retention	24 March 2020	Draft 28/02/20 2nd Draft 10/03/20 Final 11/03/20	2020/02	**Satisfactory**	24 March 2020	
Student Engagement / Students' Association	N/A					The 4 days included in the approved Outline Internal Audit Annual Plan 2019/20 for this review has been redirected to the Externally Facilitated Board Effectiveness Review as approved at the Board of Management meeting on 02/03/20
Business Development - European projects and regional apprenticeship contracts	November 2020					Due to the impact of the COVID-19 pandemic this review has been deferred until 02/11/20 at the request of management
Business Continuity	16 June 2020	Draft 13/04/20 Final 15/04/20	2020/03	**Good**	16 June 2020	Some minor opportunities for improvement identified.

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Audit Area	Planned reporting date	Report status	Report Number	Overall Conclusion	Audit and Risk Committee	Comments
Externally Facilitated Board Effectiveness Review	TBC depending on any further slippage in reporting timescales.					The timescales for completion of the review have been extended by the Good Governance Steering Group until April 2021 and therefore the review has been rolled forward into the 2020/21 programme of internal audit work in discussion with the Clerk to the Board.
Follow-up reviews	8 September 2020	Draft 31/08/20 Final 01/09/20	2020/04	6 of the 11 actions reviewed classified as Fully Implemented. 1 had been Considered but not Implemented.	8 September 2020	
Credits audit	November 2020					Fieldwork scheduled for week commencing 14/09/20
Bursary, childcare and hardship funds	November 2020					Fieldwork commenced 24/08/20
EMA audit	November 2020					Fieldwork commenced 24/08/20

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Gradings are defined as follows:

Good	System meets control objectives.
Satisfactory	System meets control objectives with some weaknesses present.
Requires improvement	System has weaknesses that could prevent it achieving control objectives.
Unacceptable	System cannot meet control objectives.

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